



2018 INTERNAL BANK AUDIT SEMINAR

November 14 • Paragon Bank • Raleigh, NC

Speakers:

Matthew Davis – Senior Manager, Crowe Horwath

Mike Thomas – Partner, Crowe Horwath

8:30 – 9 am	Registration / Continental Breakfast
9 – 9:30 am	Introduction
9:30 – 10:30 am	Auditing the Lending Function
10:45 – 10:45 am	Break
10:45 – 11:30 am	Auditing the Lending Function (cont.)
11:30 am – 12 pm	Auditing Mortgage Banking Functions
12 – 1 pm	Lunch
1 – 2:30 pm	Auditing Mortgage Banking Functions (cont.)
2:30 – 2:45 pm	Break
2:45 – 4 pm	Effectively Communicating Audit Results
4 pm	Adjourn

Topics:

- Auditing the Lending Function
 - Overview of the Lending Function
 - Analysis of Lending Sub-Processes
 - Internal Audit Objectives and Procedures:
 - Commercial, Consumer, Real Estate, Credit Card
 - Loan Loss Reserve
 - Letters of Credit
 - Default Management
- Auditing Mortgage Banking Functions
 - Overview of the Mortgage Lending, Secondary Marketing, and Servicing Functions
 - Analysis of Mortgage Lending, Secondary Marketing, and Servicing Sub-Processes
 - Mortgage Lending, Secondary Marketing, and Servicing Internal Audit Objectives
 - Mortgage Lending, Secondary Marketing, and Servicing Internal Audit Procedures
 - Mortgage Fraud
 - Statistics
 - Schemes
 - Prevention
- Effectively Communicating Audit Results
 - “Top Ten” Techniques for Verbal Presentations
 - Audit Report Structure
 - Elements of an Audit Finding