



2019 REGULATORY INSIGHTS WORKSHOP

November 14 • Greensboro – High Point Marriott • Greensboro, NC

8 – 8:30 am **Registration / Continental Breakfast**

8:30 – 10 am **Key Risks to Fair Lending**
BARBARA BELFOURE – Fair Lending Examination Specialist, FDIC

This presentation will discuss fair lending inherent risks, controls for managing inherent fair lending risks, and identifying and investigating potential fair lending risks. Additionally, hot topics in fair lending will be discussed.

10 – 10:15 am **Break**

10:15 – 11:45 am **Vendor Management Risks and Information Security**
RICHARD SNITZER – Examination Specialist, FDIC

Senior leadership is charged with oversight and execution of a strategic plan. The key to successful execution is a repeatable framework supported and lead by managers and conveyed as key business risks and not technological risks. Service providers held to the same standards as our financial institutions. Much of this relationship focuses on resiliency.

11:45 am – 12:45 pm **Lunch**

12:45 – 2:45 pm **Regulator Roundtables: Discussions of Common Examination Findings and Emerging Risks**
STACY DAIL – Senior Portfolio Analyst, Federal Reserve Bank of Richmond
KELLY MAHER – Senior Examiner, Office of the Comptroller of the Currency
MARGARET MYERS – Risk Management Field Supervisor, FDIC
TBD – North Carolina Office of the Commissioner of Banks

This will be a guided session of roundtable discussions with the different regulatory agencies.

2:45 – 3 pm **Break**

3 – 4 pm **Effective Asset Liability Management**
ZACH PRESLEY – Senior Capital Markets Specialist, FDIC

This session will discuss regulatory expectations relating to effective asset liability management. In this presentation, Zach will focus primarily on the development of appropriate deposit assumptions in light of changing market dynamics, as well as the need for a thorough and effective independent review process.

4:30 pm **Adjourn**